

UNIFORM CONTRACT FORMAT

PART I - THE SCHEDULE  
SECTION B - SUPPLIES/SERVICES AND PRICES

B.1 SERVICES AND PRICES

<u>LINE ITEM DESCRIPTION</u>		<u>QUANTITY</u>	<u>AMOUNT</u>
0001	External Research and Analysis in accordance with specifications set forth in Section C.	1 Lot	\$1,700,000
0002	Reports	1	NSP
0002AA	Workplan Milestone Report	1	NSP
0002AB	Progress Reports	10 (est)	NSP
0002AC	Special Report	1	NSP
0002AD	Final Technical Report	1	NSP
0002AE	Variance Report	As needed	
0002AF	Technical Briefing	1	NSP
0003	Quick Reaction Capability	As needed	

PART I - THE SCHEDULE  
SECTION C -- DESCRIPTION/SPECIFICATIONS

C.1. WORK STATEMENT: Under this contract, the contractor, as an independent contractor, and not as an agent, servant, or employee of the Government, utilizing special knowledge and techniques possessed by and available to the contractor, shall furnish all labor, equipment, facilities, services, and materials, necessary for the performance of the work set forth below: See Statement of Work, Enclosure 3, and DD Form 1423 (Contract Data Requirements List) dated 26 Jan 89 attached in Exhibit A.

PART I - THE SCHEDULE  
SECTION F - DELIVERIES OR PERFORMANCE

F.1 TIME OF DELIVERY: The work and services required under Section B shall be completed/delivered as specified on Enclosure 9.

F.1. TERM OF CONTRACT: The work required hereunder shall be performed during the period commencing on the effective date of this contract and ending 30 September 1992.

F.2. PLACE OF PERFORMANCE: The work under this contract shall be performed at the contractor's facilities unless otherwise provided in this contract.

PART I - THE SCHEDULE  
SECTION H - SPECIAL PROVISIONS

H.1. DISCLOSURE OF INFORMATION:

a. The contractor shall not disclose any information under this contract, including the following, to any person unless (i) it is required for the performance of this contract or (ii) the individual is specifically authorized in writing by the Contracting Officer to receive the information.

- (1) The contract schedule and technical data incorporated therein.
- (2) Sponsorship of the contract.
- (3) The Government's use, interest in, or application of the following:
  - (a) The contract schedule and technical data incorporated therein.
  - (b) Purchase description, specifications and drawings.
  - (c) Scientific studies, research, development and design service.
  - (d) Components, devices, equipments and systems.
  - (e) Techniques, methods and processes.
  - (f) Details and/or results of performance.

b. Disclosure by the contractor which require specific written authorization from the Contracting Officer include information, whether written or oral, to be revealed in open publications, technical conferences, symposia, meetings, periodicals, journals, brochures, advertising, films, photographs, fact-sheets, or other material prepared for open publication.

c. When prescribed by the Contracting Officer, the contractor agrees to insert in subcontracts and purchase order hereunder provisions which shall conform substantially to paragraphs a and b. Normally these provisions will not be required by the Contracting Officer in purchase orders for standard commercial items which have been sold or offered for sale to the public commercially by any supplier.

d. The provisions set forth in paragraphs a, b, and c shall not be construed to preclude the contractor from otherwise using, for its normal commercial purposes, information, techniques, processes, methods, developments, components, devices, equipments, systems, and proprietary data employed in the conduct of the work, except that which is specifically developed for or as a result of this contract, or which is precluded from release due to its classified nature. In so using the information as authorized by this paragraph the contractor (i) shall not disclose any information concerning the sponsorship of this contract, or (ii) the nature of the Government's interest in and application of the subject matter of this contract.

e. The contractor will submit to the Contracting Officer for clearance and release six (6) copies of the material at least four (4) weeks in advance of presentation or publication.

**H.2. GOVERNMENT FURNISHED DATA:** The Contractor shall request any data required for the conduct of this contract, in writing, from the Contracting Officer's Technical Representative (COTR). The COTR will maintain records of all such Government furnished data to insure accountability and return to the Government upon termination of the contract.

**H.3. SECURITY REQUIREMENTS:**

a. The Contractor shall maintain and administer a security program in accordance with DoD 5220.22-M Industrial Security Manual and DIAM 50-5. Copies of these documents are available for review in the office of the procuring Contracting Officer.

b. Loss or suspension of required security clearance, as set forth on the attached DD Form 254 (Contract Security Classification Specification) will result in inability to perform in accordance with the terms and conditions of the contract. As a result the contract is subject to default in accordance with the clause entitled "Default."

c. The Government reserves the right to direct any Contractor employee to be removed from performance, direct or indirect, whenever there is probable cause to believe, on the basis of all facts available, that such action is warranted in the interest of national security, whether or not the cause is deemed of sufficient severity to warrant action to terminate the Contractor's or individual's security clearance. The Government also reserves the right to direct any contractor employee to be removed from performance, direct or indirect, for the period of time necessary to conduct any investigation of alleged misconduct which may, in the opinion of the Contracting Officer, jeopardize the security of the project.

d. Military security requirements in the performance of this contract shall be maintained in accordance with the DD Form 254 contained in Section J. The highest classification involved in the performance of this contract is Top Secret/Special Compartmented Intelligence (SCI). This contract document is unclassified.

e. The contractor will not use any electronic/electrical information processing equipment in the possession of the Contractor for the purpose of processing or transmitting classified information under this contract without the written permission of the Contracting Officer.

**H.4. CHANGE IN KEY PERSONNEL:** The Contractor shall notify the Contracting Officer prior to making any change in the personnel identified in the proposal as key personnel assigned to this contract. The Contractor must demonstrate that the qualifications of the prospective personnel are equal to or better than the qualifications of the personnel being replaced.

H.5. USE OF HUMAN SUBJECTS:

a. Definitions

1. **Subject at Risk** - means any individual who may be exposed to the possibility of injury, including physical, psychological or social injury, as a consequence of participation as a subject in any research, development or related activity which departs from the application of those established and accepted methods necessary to meet his needs, or which increases the ordinary risk of daily life, including the recognized risks inherent in a chosen occupation or field of service.

2. **Investigational Drugs** - means those new drugs restricted by the Federal Food, Drug and Cosmetic Act to be used by or under the supervision of an investigator pursuant to a notice of Claimed Investigational Exemption for the New Drug (IND).

3. **Investigational Medical Devices** - means those devices which are not generally recognized as safe and/or effective, intended for use in the diagnosis, cure, mitigation, treatment, or prevention of disease in, or research on, humans where the research is usually (but not necessarily) for the purpose of determining whether or not the device is safe and/or effective.

b. Requirements for the Use of Humans

1. Safeguarding the rights and welfare of subjects at risk in activities supported by this contract is primarily the responsibility of the Contractor. Compliance with this contract will in no way render inapplicable pertinent federal, state, or local laws or regulations. In order to provide for the adequate discharge of this institutional responsibility, it is the policy of the DIA that no activity involving human subjects under this contract shall be undertaken unless an Institutional Review Board (IRB) has reviewed and approved such activity.

2. The institution shall be provided to DIA a written assurance that it will abide by the policy for the protection of human subjects as contained in title 45, Part 46, of the Code of Federal Regulations (CFR), as amended. When an institution has a Health and Human Services (HHS) approved assurance, evidence of IRB approval of this study shall have been accomplished by submission to DIA of an executed HHS form 596. For an institution without an HHS approved assurance, an assurance concerning the protection of human subjects shall have been negotiated with the DIA Contracting Officer, and IRB approval given.

3. In addition to the requirements of Title 45, Part 46 of the CFR, the following shall apply to all DIA contracts supporting research, development, and related activities:

a) Prisoners of war (POW) and detainees shall not be used under any circumstances.

b) Use of prisoners as research subjects shall have been specifically approved by the DIA Contracting Officer.

c) A mentally disabled or institutionalized mentally infirm person shall not participate as a research subject unless the nature of the research involved is such that it would be impossible or meaningless if mentally infirm were restricted from participation, or other considerations are involved. Specific approval for their use shall have been granted by the Contracting Officer. The research must be concerned with:

(i) The diagnosis, treatment, prevention, or etiology of the particular impairment with which the subject is afflicted, or

(ii) Any other condition from which the subject is suffering, providing there is a direct potential benefit to the subject and adequate prior testing has been accomplished to give assurance of acceptable risk, or

(iii) The effects of institutional life upon the institutionalized mentally infirm subject, and involves no appreciable risk to the subject, or

(iv) Information which cannot be obtained from any other class of subject.

d) Volunteers shall be provided all necessary medical care for injury or disease that is the proximate result of taking part in the contract research.

e) New people entering this project for training purposes, or for participation as subjects of research, shall sign a statement that they will not use information attained during the course of participation to invade the privacy of US citizens.

f) Studies conducted outside the United States, its territories or possessions, shall be conducted in compliance with all laws, customs and practices of the country in which the study is to be conducted.

c. Requirements for the Use of Investigational Drugs

Investigational drugs of any kind shall not be used for this contract.

d. Requirements for Use of Investigational Medical Devices

The Contractor shall comply with Title 21, Part 812, of the CFR, as amended, for the study and evaluation of those devices which are not generally recognized as safe and/or effective intended for use in the diagnosis, cure, mitigation, treatment, or prevention of disease in, or research on, humans. The contractor shall have to provide with his proposal a copy of FDA approval of, or grant of waiver for, use of investigational device exemption.

e. Requirements for Reporting and Documentation

1. Copies of all documents presented or required for initial and continuing review of the IRB, e.g., Board minutes pertaining only to the contract, record of subjects consent, transmittal on actions, instructions and conditions resulting from Board deliberations addressed to the activity director, are to be retained by the Contractor for at least three (3) years after completion of the research. All documents shall be accessible for inspection during normal working hours, by the DIA Contracting Officer or authorized representative.

2. Except as otherwise provided by law, information in the records or possession of the Contractor which refers to or can be identified with a particular subject may not be disclosed except:

- a) With the consent of the subject or his legally authorized representative, or
- b) As may be necessary for the DIA to carry out its legal responsibilities.

3. Upon expiration or termination of this contract, a list of all unused test material shall be provided to the DIA Contracting Officer.

4. The Contractor shall immediately notify the DIA Contracting Officer, by telephone, of inquiries outside the Department of Defense concerning the use of human subjects under this contract. In addition, the Contracting Officer shall be notified as soon as possible of inspections of the facility or contract protocols by the FDA.

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STATEMENT OF WORK

FOR

PHENOMENOLOGICAL RESEARCH AND ANALYSIS

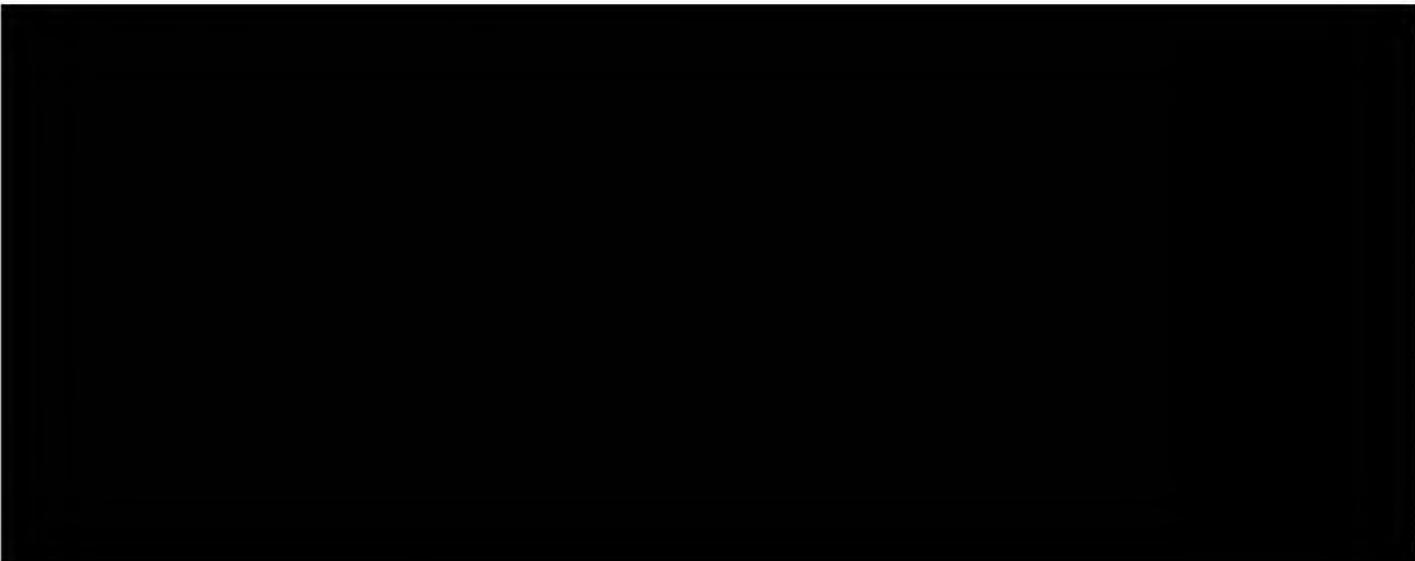
1.0 (S/NF) PURPOSE: The purpose of this effort is to initiate new research and perform select analyses of anomalous phenomena.

2.0 (U) BACKGROUND:

2.1 (C) Phenomenological research and analysis as intended by this contract refers to anomalous phenomena associated with human abilities. Investigations in this area have sometimes been referred to as Remote Viewing (RV), Extrasensory Perception (ESP), and recently Anomalous Cognition (AC) or Anomalous Phenomena (AP). Foreign researchers have also used the term psychoenergetics to describe aspects of these areas.

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2.2 (S/NF) Over the past fifteen years DIA has followed the field of psychoenergetics for two basic reasons:



2.4 (S/NF) In 1983 a report with project findings was sent to congressional intelligence and appropriation committees. The report affirmed the existence of remote viewing phenomenon and recommended that additional research for quantifying and developing remote viewing as an intelligence collection tool be pursued. This recommendation was endorsed by a special Science Panel that met to review the project findings. This panel also affirmed that the contractual research was scientifically sound and urged that basic and applied research in psychoenergetics be continued, its scope expanded, and that other laboratories be involved.

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Declassify On: DADR

Enclosure 3

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2.5 (S/NF) The first opportunity to expand this research scope occurred in 1985. The Department of the Army's Surgeon General Office for Research and Development (DASGRD) initiated a five year research and development program. The SGRD program centered on two main aspects: basic anomalous phenomena understanding, and applications-oriented research. DIA established close ties with this R/D effort to ensure that applications research would be adequately pursued.

2.6 (S/NF) In 1987, it became apparent that SGRD funding might not be available to carry through to the end of the five year period. Consequently, additional funding was sought by DIA and acquired through funding transfer action by the House Appropriations Committee (HAC). This funding was provided to DIA; MIPR action to the SGRD contract was the most expeditious method of allocating this funding.

2.7 (S/NF) Results of this effort yielded several findings of breakthrough potential. Subsequently, follow-on research support was provided to DIA by Congressional action for FY91; DIA was identified as the executive agent due to long-standing knowledge and expertise in this area. Both R&D and O/M funds were allocated for continuing basic and applied research, for applied investigation and for assisting in assessment of relevant foreign research. Additional funds are also anticipated for this area after a comprehensive research plan is defined.

3.0 (S/NF) **OBJECTIVE:** The objective of this contract is to pursue the most promising basic and applied research in this area, to develop a comprehensive plan for future activities, and to identify/improve application potential.

4.0 (S/NF) **SCOPE:** Basic research, applied research and threat assessments will be accomplished for this phenomenological area.

5.0 (S/NF) **TECHNICAL/OTHER CONSIDERATIONS:**

5.1 (S/NF) All research activities and findings resulting from previous programs in psychoenergetics shall be brought to bear on this effort. In addition, expertise in multidisciplinary areas throughout SAIC shall be made available as consultants or for special support as required.

5.2 (U) Specialized support, if not available at SAIC, can be obtained via SAIC consultant or subcontract efforts with prior approval by the COTR. Every effort should be made to insure such support is from the recognized experts in the field. Some of the specific tasks in section 6.0 can be performed in unclassified facilities.

5.3 (S/NF) This effort will utilize a technical review group (e.g., a Scientific Oversight Committee, a Human Use Review Panel and a Policy Board). Their establishment will be in coordination with, and approved by, the COTR.

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5.4 (S/NF) Some of the experiments/investigations required to fulfill this contract may require participation of select government personnel. All such investigations will be fully planned and coordinated with the COTR and will be under the purviews of the SOC and the Human Use Review Committee.

5.5 (U) Should unforeseen schedule of other issues arise concerning any of the specific tasks identified in section 6.0, the COTR will be given immediate notice. If necessary, adjustments to priorities and schedules can be approved by the COTR if there is no cost or other impact on the over-all program. The COTR will also review/approve all anticipated research protocols.

5.6 (U) All items in section 6.0 (except 6.9) will be funded from the R&D portion of this contract. Section 6.5 will be funded from the D&M portion.

5.7 (U) When additional funds become available for this effort, the COTR will determine which of the exploratory tasks will be pursued for the follow-on and a contract amendment will be prepared.

5.8 (U) A more detailed statement-of-work is on file by the COTR and will be provided to the contractor to insure adequate task understanding.

#### 6.0 (S/NF) SPECIFIC TASKS:

6.1 (U) Research Plan Development: Provide inputs for development of a long-term comprehensive basic and applied research plan. This will require extensive expert consultation and will involve an inter-laboratory, inter-disciplinary approach.

##### 6.2 (U) Basic Research:

###### 6.2.1 (U) Magnetoencephalograph (MEG) Investigations:

6.2.1.1 (U) Calibrate the electromagnetic/magneto-encephalograph (MEG) environment and replicate earlier results using a variety of population types.

6.2.1.2 (U) Examine MEG evoked responses from several population types and correlate with remote viewing ability for various physical conditions.

###### 6.2.2 (U) Data Patterns/Correlations:

6.2.2.1 (C) Using data from past basic research experiments, compare target features (e.g., type, dynamics) with remote viewing results to determine if any patterns or correlations exist.

6.2.2.2 (C) Initiate new experiments to further examine possible target type/remote viewing result correlations.

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6.2.2.3 (U) Examine parapsychological historical results to see if correlations to geomagnetic activity exists; initiate exploratory investigations to replicate/test findings.

6.2.2.4 (U) Examine parapsychological literature on research projects to evaluate over-all results and to identify possible variables/correlations.

6.2.3 (U) Theoretical Issues:

6.2.3.1 (U) Initiate assessment/investigations of potential theoretical constructs that may help explain this phenomena. This may require considerations with on-going basic research in other disciplines.

6.2.3.2 (C) Initiate investigation of issues that may help explain targeting mechanisms.

6.2.4 (U) Altered States:

6.2.4.1 (U) Investigate remote viewing potential of lucid and other altered states.

6.2.5 (U) Energetics:

6.2.5.1 (U) Initiate limited experiments and develop plans for follow-on energetics research.

6.3 (S/NF) Applied Research:

6.3.1 (U) Magnetoencephalograph (MEG) Developments:

6.3.1.1 (S/NF) Translate basic research MEG results into procedures useful for practical screening/selection activity.

6.3.2 (U) Correlations/Pattern Analysis:

6.3.2.1 (S/NF) Conduct a variety of remote viewing experiments with laboratory and government personnel in order to identify possible correlations with people and project types and to identify strengths and weaknesses.

6.3.2.2 (U) Evaluate/test beacon person conditions (i.e., sender/no sender) in various experimental environments. This may require detailed analysis of existing data bases.

6.3.3 (U) Training:

6.3.3.1 (S/NF) Examine previously developed training procedure and determine strengths/weaknesses of specific elements.

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6.3.3.2 (S/NF) Explore new/modified training procedures.

6.3.4 (U) Applications:

6.3.4.1 (S/NF) Initiate/explore expanded or new application investigations to evaluate their feasibility.

6.3.4.2 (S/NF) Develop and conduct various experiments to test communication potential of remote viewing capabilities.

6.3.4.3 (S/NF) Initiate investigations to identify possible ways for enhancing data quality.

6.3.4.4 (S/NF) Improve data analysis and evaluation techniques for applied projects, possibly using artificial intelligence procedures.

6.4 (U) Support Activity (R&D):

6.4.1 (U) Recommend and establish, with agency coordination, the Scientific Oversight Committee (SOC), Human Use Review Panel and Policy Board membership.

6.4.2 (U) Other Support (includes project management, SOC/Human Use Review Panel/Policies Board, computer requirements, document preparation, administration and travel).

SG1B 6.5 (U) Other Support Activity (O&M):



6.5.3 (S/NF) Develop protocols suitable for a variety of application pursuits.

6.5.4 (C) Identify hardware and software data base needs for the government data base system.

6.5.5 (U) Assist in implementing select "on-line" storage retrieval of the research literature.

7.0 (U) QUICK REACTION CAPABILITY (QRC): The contractor will maintain a quick response capability and be prepared to respond in less than 24 hours to problems or unexpected demands for brief technical papers that may be developed during the term of this project.

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8.0 (U) ALLOCATION OF EFFORT: The level of effort to be applied to each basic task category should be approximately as follows:

<u>Basic Task No.</u>	<u>Allocation of Effort/ % Funding</u>
6.1	15
6.2	40
6.3	22
6.4	5
6.5	18

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CONTROL NO. \_\_\_\_\_

JUSTIFICATION AND APPROVAL  
FOR OTHER THAN FULL AND OPEN COMPETITION

1. (U) Contracting Authority: Virginia Contracting Activity, P. O. Box 46563, Washington, DC 20050-6563.
2. (U) Authority Cited: 10 U.S.C. 2304(c)(1); FAR 6.302-1, "Only one Responsible Source and no other supplies or services will satisfy Agency requirements."
3. (U) Description of Supplies/Services: The Defense Intelligence Agency (DIA) proposes to procure Phenomenological Research and Analysis Services for a DIA special activity program. External assistance funds available for this Congressional Directed Program total \$1,700,000.00.
4. (U) Description of Action: It is anticipated that a cost-plus-fixed fee contract will be negotiated and awarded to satisfy this requirement. Funds will include both R&D and D&M as cited on Purchase Request No. 330/0012Z/91.
5. (U) Reason for Authority Cited:
  - a. (S/NF) This action is based on the need to utilize previous research achievements developed under other DOD-sponsored R&D efforts. The bulk of this prior R&D effort was provided by the Department of the Army's Surgeon General Office (SGRD) in 1985 and spanned several years. This basic and applied research effort involved Dr. Edwin May as the principle researcher and program manager. Dr. May was at that time with the Stanford Research Institute (SRI), Menlo Park, CA. He has since joined the Science Applications International Corporation (SAIC) and has taken his extensive data base and key research assistants with him. Consequently, contract requirements can only be satisfied by the Science Applications International Corporation (SAIC). By doing so, duplication of effort can be avoided and maximum research efficiency can be achieved.
  - b. (S/NF) This contractor is uniquely qualified due to their extensive background in interdisciplinary research areas that spans over 20 years. This multidisciplinary staff will be available for consultation as the need arises. The contractor has on its staff two physicists who have extensive experience in phenomenological research involving psychoenergetics investigations. One of these is Dr. Joseph Angelo who has had over 10 years of involvement in this field in a project management capacity and at applications research levels. The other physicist, Dr. Edwin May, is regarded as the leading U.S. investigator in this phenomenological area. He has been a program manager in this area since 1986, and has a long term involvement (over 19 years) in this field. His extensive work history provides for continuity not available from any other individual. He is recognized by investigators world-wide, and can easily remain current with relevant foreign research.

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Enclosure 4

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c. (S/NF) The contractor has an extensive classified data base which has co-mingled with both government and proprietary data that is essential for this contract. It would require several years for a new contractor to achieve the present level of expertise and competence possessed by Dr. May and Dr. Angelo. This would create a serious delay in pursuit of appropriate research, in achievement of application-oriented results and in understanding potential threat posed by similar foreign activities in this area.

d. (C) There is also an "urgency" aspect to this contract. The Congressional direction included a time-critical task requiring development of a detailed term research plan for this area. The only way to meet this time sensitive effort is to utilize existing capabilities of SAIC.

6. (U) Efforts to Obtain Competition: Due to the uniqueness of this research area, there are no other qualified research facilities and personnel available to satisfy this contract. To bring other potential organizations up to speed would not be possible without unacceptable delays or additional costs.

7. (U) Action to Increase Competition: Every effort will be made to release research results as widely as possible to attract other potential researchers. Not only will this be accomplished through specialized (and properly classified) channels, but also appropriate portion will be released at unclassified levels to attract interest in academic facilities.

8. (U) Market Survey: Based on the significant advantage that SAIC possesses in this area, a Market Survey would not serve a useful purpose at this time.

9. (U) Interested Sources: No other source has expressed an interest in this requirement.

10. (U) Other Factors:

a. (S) Acquisition data availability: Data from previous government-sponsored research is available in DIA. Since this was part of a controlled access project, every effort will be made to downgrade, and where practical, declassify basic aspects of the research. This will, in time, generate a wider interest in this field.

b. (U) Unusual and Compelling Urgency: One of the line items (6.1) in the Statement of Work requires immediate response by the Contractor in order to meet one of the Congressionally-directed requirements. This requirement can only be satisfied via other than full and open competition and by utilizing the existing SAIC capabilities.

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c. (U) Subcontracting Competition: The same basic reasons for this other than full and open competition for SAIC also apply to subcontractor selection. There are only a few individuals/facilities available that have any established expertise for supporting a portion of this unique research area. It would not be timely or cost advantageous to the government for the Contractor to pursue competitive action for the highly specialized support that may be required.

11. (U) Technical Certification:

I hereby certify that the supporting data under my cognizance which are included in the J&A are accurate and complete to the best of my knowledge and belief.

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Name: [REDACTED] Date: 19 DEC 1990  
 Title: [REDACTED] Signature: [REDACTED]

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12. (U) Requirements Certification:

I hereby certify that the supporting data under my cognizance which are included in the J&A are accurate and complete to the best of my knowledge and belief.

Name: J.T. BERBRICH Date: 20 Dec 90  
 Title: DT Signature: J. Berbrich

13. (U) Fair and Reasonableness Cost/Price Determination: A detailed technical and cost proposal will be required from the contractor, SAIC. Prior to award, the Government will conduct an in-depth analysis of all technical and cost aspects of this requirement. Based on the outcome, a fair and reasonable cost will be negotiated. Therefore, I hereby determine that the anticipated cost for this contract action will be fair and reasonable.

14. (U) Contracting Officer Certification:

In accordance with FAR 6.303-2 (a) (12), I hereby certify that the facts and representation under my cognizance, which are included in this J&A, are accurate and complete to the best of my knowledge and belief.

Date: \_\_\_\_\_ NAME: \_\_\_\_\_

Contracting Officer

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APPROVAL

Based on the foregoing justification, I hereby approve the procurement of PHENOMENOLOGICAL RESEARCH AND ANALYSIS on an other than full and open competition basis pursuant to the authority of 10 U.S.C. 2304(c), subject to availability of funds, and provided that the services and/or property herein described have otherwise been authorized for acquisition.

Date: \_\_\_\_\_ Signature: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

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SERVICES QUESTIONNAIRE

Requirement Document No. 330/00121/91

**TITLE:** External Analysis and Development

The following questions are to be answered by the individual initiating the procurement request for contractor support services, and will be used by the CO in determining whether the services are nonpersonal.

**1. THE NATURE OF THE WORK**

(A) To what extent can the Government obtain civil servants to do the job?

Agency and other government personnel are not available with the requisite skills and analytical background.

(B) Does the proposed contractor have specialized knowledge or equipment which is unavailable in the Government? If the answer is in the affirmative, describe the specialized knowledge or equipment.

The Contractor has unique knowledge of this phenomenological area. The contractor has employees that are recognized leaders in this field of analysis. They have a classified data base which has co-mingled both government and proprietary data that is absolutely essential for the successful completion of this contract.

(C) To what extent do the proposed services represent the discharge of a Governmental function which calls for the exercise of personal judgment and discretion on behalf of the Government?

Not at all.

(D) To what extent is the requirement for services to be performed under the proposed contract continuing rather than short-term or intermittent?

This requirement is expected to be a continuing task.

**2. CONTRACTUAL PROVISIONS CONCERNING THE CONTRACTOR'S EMPLOYEES**

(A) To what extent does the Government specify the qualifications of or reserve the right to approve, individual contractor employees?

Security and possible specification of contractor expertise.

(B) To what extent does the Government reserve the right to assign tasks to and prepare work schedules for the contractor employees during the performance of the contract?

Not at all.

(C) To what extent does the Government retain the right (whether actually exercised or not) to supervise the work of the contractor employees, either directly or indirectly?

Not at all.

(D) To what extent does the Government reserve the right to supervise or control the method in which the contractor performs the service, the number of people he will employ, the specific duties of individual employees, and similar details?

Not at all.

(E) To what extent will the Government review performance by each individual contractor employee, as opposed to reviewing a final product on an overall basis after completion of the work?

Not at all.

(F) To what extent does the Government retain the right to have contractor employees removed from the job for reasons other than misconduct or security?

Not at all.

### 3. OTHER PROVISIONS OF THE CONTRACT

(A) Are the services properly defined as an end product?

Yes.

(B) Is the contractor undertaking a specific task or project that is definable either at the inception of the contract or at some point during the performance, or is the work defined on a day to day basis?

The contractor is undertaking a specific project which is defined at the inception of the contract in the Statement of Work.

(C) Will payment be for results accomplished or solely according to time worked?

Payment will be for results accomplished.

(D) To what extent will the Government furnish the office or working space, facilities, equipment, and supplies necessary for contract performance?

None required.

4. ADMINISTRATION OF THE CONTRACT

(A) To what extent are contractor employees used interchangeably with Government personnel to perform the same functions?

Not at all.

(B) To what extent are the contractor employees integrated into the Government's organizational structure?

Not at all.

(C) To what extent are any of the elements in (2) and (3) above present in the administration of the contract, regardless of whether they are provided for by the terms of the contract?

Not at all.

5. OTHER CONSIDERATIONS

(A) Is the requirement the result of an unsolicited proposal? If so, describe.

No.

(B) If the procurement is sole source, why is it sole source?

The proposed contractor has unique personnel and proprietary approaches to this area as discussed in 1(B).

(C) What is the potential for follow-on procurement?

There is some potential for follow-on procurement depending on the nature and extent of achievements in the subject area. The current state of this field of study is judged to be on the threshold of breakthroughs which would radically change the nature of this requirement.

(D) If it is significant, will the Government become irrevocably committed to sole source procurement?

Not likely. If anticipated developments materialize this field of study could become much more popular with a greater number of contractors and academic institutions.

SG1J

Prepared by: [REDACTED] Code: DT-5A Date: 30 November 1990

Pursuant to the FAR and on the basis of information contained above and a review of the referenced acquisition request, it is my determination that the services to be procured are nonpersonal. (Reference parenthetical weights on various factors in the FAR.)

---

Procuring Contracting Officer

I concur, and believe that the Contracting Officer's judgment may reasonably be made under the FAR.

---

Counsel

STATEMENT

RESEARCH OF PLANNED, ON-GOING,  
AND COMPLETED STUDIES

Research by my staff indicates, to the best of our knowledge, that there is no other known similar or collateral study or analysis, planned, in progress, or completed which fulfills the objectives or specific intent of this proposed contract study: Phenomenological Research and Analysis (Purchase Request # 330/00122/91

PREPARED BY:

Date: 7 Dec 1990

SG1J

DT-SA

CERTIFIED AS ACCURATE  
AND COMPLETE:

Date: 20 Dec 90

  
JOHN T. BERBRICH  
Assistant Deputy Director  
for Scientific and  
Technical Intelligence

Enclosure 6

Next 1 Page(s) In Document Exempt

DEPARTMENT OF DEFENSE CONTRACT SECURITY CLASSIFICATION SPECIFICATION			1. THE REQUIREMENTS OF THE DOD INDUSTRIAL SECURITY MANUAL APPLY TO ALL SECURITY ASPECTS OF THIS EFFORT. THE FACILITY CLEARANCE REQUIRED IS: <b>TS/SI/SAO TOP SECRET</b>							
2. THIS SPECIFICATION IS FOR:		3. CONTRACT NUMBER OR OTHER IDENTIFICATION NUMBER (Prime contracts must be shown for all subcontracts)		4. DATE TO BE COMPLETED (Estimated)		5. THIS SPECIFICATION IS: (See "NOTE" below. If item b or c is "X'd", also enter date for item a)				
XX a. PRIME CONTRACT		a. PRIME CONTRACT NUMBER		a. 30 Sep 92		XX	a. ORIGINAL (Complete date in all cases)	DATE		
b. SUBCONTRACT (Use Item 15 for subcontracting beyond second tier)		b. FIRST TIER SUBCONTRACT NO.		b.		b. REVISED (supersedes all previous specifications)	REVISION NO.	DATE		
c. REQUEST FOR BID, REQUEST FOR PROPOSAL OR REQ FOR QUOTATION		c. IDENTIFICATION NUMBER		c. DUE DATE		c. FINAL				
6. Is this a follow-on contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No. If YES, complete the following:										
a. <u>PRECEDING CONTRACT NUMBER</u> <u>DATE COMPLETED</u>										
b. <input type="checkbox"/> Is <input checked="" type="checkbox"/> Is not, transferred to this follow-on contract.										
7a. Name, Address & Zip Code of Prime Contractor *			b. FSC Number		c. Name, Address & Zip Code of Cognizant Security Office DCASK LOS Angeles ATTN: Directorate of Industrial Security 11099 LA Cienga Blvd, LA, CA 90045					
SAIC 311 Park Place Blvd, Suite 360 Clearwater, FL 34619										
8a. Name, Address & Zip Code of First Tier Subcontractor *			b. FSC Number		c. Name, Address & Zip Code of Cognizant Security Office					
9a. Name, Address & Zip Code of Second Tier Subcontractor, or facility associated with IFB, RFP OR RFQ *			b. FSC Number		c. Name, Address & Zip Code of Cognizant Security Office					
* When actual performance is at a location other than that specified, identify such other location in Item 15.										
10a. General identification of the Procurement for which this specification applies <b>R&amp;D Contract: Research and Analysis</b>						b. DoDAD Number of Procuring Activity identified in Item 16d.				
c. Are there additional security requirements established in accordance with paragraph 1-114 or 1-115, ISR? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No. If YES, identify the pertinent contractual documents in Item 15.										
d. Are any elements of this contract outside the inspection responsibility of the cognizant security office? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No. If YES, explain in Item 15 and identify specific areas or elements.										
11. ACCESS REQUIREMENTS			YES	NO	ACCESS REQUIREMENTS (Continued)				YES	NO
a. Access to Classified Information Only at other contractor/Government activities. <i>AM DFS</i>			<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	j. Access to SENSITIVE COMPARTMENTED INFORMATION.				<input checked="" type="checkbox"/>	
b. Receipt of classified documents or other material for reference only (no generation). <i>AM DFS</i>			<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	k. Access to other Special Access Program information (Specify in Item 15).					<input checked="" type="checkbox"/>
c. Receipt and generation of classified documents or other material.			<input checked="" type="checkbox"/>		l. Access to U. S. classified information outside the U. S. Panama Canal Zone, Puerto Rico, U. S. Possessions and Trust Territories.					<input checked="" type="checkbox"/>
d. Fabrication/Modification/Storage of classified hardware.			<input checked="" type="checkbox"/>		m. Defense Documentation Center or Defense Information Analysis Center Services may be requested.				<input checked="" type="checkbox"/>	
e. Graphic arts services only.			<input checked="" type="checkbox"/>		n. Classified ADP processing will be involved.				<input checked="" type="checkbox"/>	
f. Access to IPO information.			<input checked="" type="checkbox"/>		o. REMARKS: DoDD 5200.17 (M-2); DIAM 50-3; / DIAM 50-5 apply					
g. Access to RESTRICTED DATA.			<input checked="" type="checkbox"/>							
h. Access to classified COMSEC information.			<input checked="" type="checkbox"/>							
i. Cryptographic Access Authorization required.			<input checked="" type="checkbox"/>							
12. Refer all questions pertaining to contract security classification specification to the official named below (NORMALLY, thru ACO (Item 16e); EMERGENCY, direct with written record of inquiry and response to ACO) (thru prime contractor for subcontracts).										
a. The classification guidance contained in this specification and attachments referenced herein is complete and adequate.									c. Activity name, address, Zip Code, telephone number and office symbol Defense Intelligence Agency ATTN: DT-5A Washington, DC 20340-6053	
b. Other					SG1J				SG1A	
<p><b>NOTE:</b> Original Specification (Item 5a) is authority for contractors to mark classified information. Revised and Final Specifications (Items 5b and c) are authority for contractors to remark the regraded classified information. Such actions by contractors shall be taken in accordance with the provisions of the Industrial Security Manual.</p>										

ENCLOSURE 8

DD FORM 254  
1 JAN 78

EDITION OF 1 APR 71 IS OBSOLETE. ALSO REPLACES DD FORM 254c WHICH IS OBSOLETE

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13a. Information pertaining to classified contracts or projects, even though such information is considered unclassified, shall not be released for public dissemination except as provided by the Industrial Security Manual (paragraph 5o and Appendix IX).

b. Proposed public releases shall be submitted for approval prior to release  Direct  Through (Specify):

NO PUBLIC EXHIBITION OF SCI IS AUTHORIZED

to the Directorate For Freedom of Information and Security Review, Office of the Assistant Secretary of Defense (Public Affairs) \* for review in accordance with paragraph 5o of the Industrial Security Manual.

\* In the case of non-DoD User Agencies, see footnote, paragraph 5o, Industrial Security Manual.

14. Security Classification Specifications for this solicitation/contract are identified below ("X" applicable box(es) and supply attachments as required). Any narrative or classification guide(s) furnished shall be annotated or have information appended to clearly and precisely identify each element of information which requires a classification. When a classification guide is utilized, that portion of the guide(s) pertaining to the specific contractual effort may be extracted and furnished the contractor. When a total guide(s) is utilized, each individual portion of the guide(s) which pertains to the contractual effort shall be clearly identified in Item 14b. The following information must be provided for each item of classified information identified in an extract or guide:

(I) Category of classification. (II) Date or event for declassification or review for declassification, and (III) The date or event for downgrading (If applicable).

The official named in Item 12b, is responsible for furnishing the contractor copies of all guides and changes thereto that are made a part of this specification. Classified information may be attached or furnished under separate cover.

a. A completed narrative is (1)  attached, or (2)  transmitted under separate cover and made a part of this specification.

b. The following classification guide(s) is made a part of this specification and is (1)  attached, or (2)  transmitted under separate cover. (List guides under Item 15 or in an attachment by title, reference number and date).

c. Service-type contract/subcontract. (Specify instructions in accordance with ISR/ISM, as appropriate.).

d. "X" only if this is a final specification and Item 6 is a "NO" answer. In response to the contractor's request dated \_\_\_\_\_ retention of the identified classified material is authorized for a period of \_\_\_\_\_.

e. Annual review of this DD Form 254 is required. If "X'd", provide date such review is due: \_\_\_\_\_.

15. Remarks (Whenever possible, illustrate proper classification, declassification, and if applicable, downgrading instructions).

a. This contract requires access to SCI. The Director, Defense Intelligence Agency has exclusive security responsibility for such information released to the contractor or developed under this contract. DIAM 50-5, Volumes I and II provide the necessary guidance for physical, personnel and information security measures and is a part of the security specifications for this contract. DCASR is relieved of all responsibility for all SCI material for information released to the contractor under this contract.

b. All contractor personnel to be granted access to all classified information released or generated under this contract must be U.S. citizens granted a final security clearance by the Government prior to being given access. Immigrant aliens, interim cleared personnel or personnel holding a contractor granted CONFIDENTIAL clearance are not eligible for access to classified information released or generated under this contract without the express permission of the Director, DIA.

c. Classified material released or generated under this contract is not releasable to Foreign National without the express permission of the Director, DIA.

(continued)

16a. Contract Security Classification Specifications for Subcontracts issuing from this contract will be approved by the Office named in Item 16e below, or by the prime contractor, as authorized. This Contract Security Classification Specification and attachments referenced herein are approved by the User Agency Contracting Officer or his Representative named in Item 16b below.

REQUIRED DISTRIBUTION:

- Prime Contractor (Item 7a)
- Cognizant Security Office (Item 7c)
- Administrative Contracting Office (Item 16e)
  - Quality Assurance Representative
  - Subcontractor (Item 8a)
    - Cognizant Security Office (Item 8c)
  - Program/Project Manager (Item 12b)
    - U. S. Activity Responsible for Overseas Security Administration

ADDITIONAL DISTRIBUTION:

- DIA/RTS-6B
- DIA/RSS
- DIA/DT-3A

b. Typed name and title of approving official

SG1J

Asst Dep Dir for Security and CI

c. Signature

d. Approving official's activity address and Zip Code  
Defense Intelligence Agency  
Washington, DC 20340

e. Name, address and Zip Code of Administrative Contracting Office

SG1A

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Approved For Release 2000/08/08 : CIA-RDP96-00789R003000450009-1

# CONTRACT DATA REQUIREMENTS LIST

Public reporting burden for this collection of information is estimated to average 440 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503. Please DO NOT RETURN your form to either of these addresses. Send completed form to the Government issuing Contracting Officer for the Contract/PR No. listed in Block E.

A. CONTRACT LINE ITEM NO.		B. EXHIBIT	C. CATEGORY:	
			TDP	TM
D. SYSTEM/ITEM N/A		E. CONTRACT/PR NO.	F. CONTRACTOR SAIC	
1. DATA ITEM NO. A001	2. TITLE OF DATA ITEM Periodic Status Reports	3. SUBTITLE		
4. AUTHORITY (Data Acquisition Document No.) DI-MGMT-80227		5. CONTRACT REFERENCE (S.O.W.) Paragraph C.6.2, C.6.3		6. REQUIRING OFFICE DIA/DT-5A
7. DD 250 REQ	9. DIST STATEMENT REQUIRED DT-5A only	10. FREQUENCY Bimonth	12. DATE OF FIRST SUBMISSION 30DAC	14. DISTRIBUTION
8. APP CODE	11. AS OF DATE	13. DATE OF SUBSEQUENT SUBMISSION	b. COPIES	
16. REMARKS		a. ADDRESSEE		Final
		Draft	Reg	Repro
		DT-5A	1	1
15. TOTAL		→		1 1

1. DATA ITEM NO.		2. TITLE OF DATA ITEM INTERIM TECHNICAL REPORTS			3. SUBTITLE		
A002							
4. AUTHORITY (Data Acquisition Document No.) DI-A-5029		5. CONTRACT REFERENCE (S.O.W.) Para C.6.2, 6.3			6. REQUIRING OFFICE DIA/DT-5A		
7. DD 250 REQ	8. APP CODE	9. DIST STATEMENT REQUIRED DT-5A Only	10. FREQUENCY OTIME	11. AS OF DATE	12. DATE OF FIRST SUBMISSION	13. DATE OF SUBSEQUENT SUBMISSION	
16. REMARKS Initial draft (2 copies) shall be submitted 15 days after work is complete. (estimated two in FY91, 15 days)						14. DISTRIBUTION	
a. ADDRESSEE			b. COPIES			Draft	Final
						Reg	Rep
DT-5A			2	10			
15. TOTAL →						2	11

1. DATA ITEM NO.		2. TITLE OF DATA ITEM		3. SUBTITLE	
A003		SPECIAL REPORT			
4. AUTHORITY (Data Acquisition Document No.)		5. CONTRACT REFERENCE (S.O.W.)		6. REQUIRING OFFICE	
DI-A-5029		C.6.1		DIA/DT-5A	
7. DD 250 REQ		9. DIST STATEMENT REQUIRED		10. FREQUENCY	
		DT-5A only		OTIME	
8. APP CODE		11. AS OF DATE		12. DATE OF FIRST SUBMISSION	
		TBD		13. DATE OF SUBSEQUENT SUBMISSION	
16. REMARKS Required for special briefings, reports.					
14. DISTRIBUTION					
a. ADDRESSEE			b. COPIES		
DT-5A			Draft	Reg	Final
15. TOTAL → 2 2					

H. DATE 7 Dec 90  
08 Dec 90 -5A Page \_\_\_\_ of \_\_\_\_  
Previous editions are obsolete.

DATA ITEM DESCRIPTION		Form Approved OMB No. 0704-0188 Exp. Date: Jun 30, 1986	
1. TITLE Contractor's Progress, Status and Management Report		2. IDENTIFICATION NUMBER DI-MGMT-80227	
3. DESCRIPTION/PURPOSE 3.1 The Contractor's Progress, Status and Management Report indicates the progress of work and the status of the program and of the assigned tasks, reports costs, and informs of existing or potential problem areas.			
4. APPROVAL DATE (YYMMDD) 860905	5. OFFICE OF PRIMARY RESPONSIBILITY (OPR) N/SPAWAR	6a. DTIC REQUIRED	6b. GIDEP REQUIRED
7. APPLICATION/INTERRELATIONSHIP 7.1 This Data Item Description (DID) contains the format and content preparation instructions for the data product generated by the specific and discrete task requirement for this data included in the contract. 7.2 This DID may be applied in any contract and during any program phase. 7.3 This DID supersedes DI-A-2090A, DI-A-3025A, UDI-A-22050B, UDI-A-22052A, UDI-A-23960, DI-A-30024, and DI-A-30606. (cont. on page 2)			
8. APPROVAL LIMITATION	9a. APPLICABLE FORMS	9b. AMSC NUMBER N3947	
10. PREPARATION INSTRUCTIONS 10.1 <u>Contract</u> - This data item is generated by the contract which contains a specific and discrete work task to develop this data product. 10.2 <u>Format</u> - This report shall be typewritten on standard size (e.g. 8 1/2" by 11") white paper, and securely stapled. Pages shall be sequentially numbered. All attachments shall be identified and referenced in the text of the report. The report shall be prepared in the contractor's format and shall be legible and suitable for reproduction. 10.3 <u>Content</u> - The report shall include: a. A front cover sheet which includes the contractor's name and address, the contract number, the nomenclature of the system or program, the date of the report, the period covered by the report, the title of the report, either the serial number of the report or the Contract Data Requirements List (CDRL) sequence number, the security classification, and the name of the issuing Government activity; b. Description of the progress made against milestones during the reporting period; c. Results, positive or negative, obtained related to previously-identified problem areas, with conclusions and recommendations; d. Any significant changes to the contractor's organization or method of operation, to the project management network, or to the milestone chart; e. Problem areas affecting technical or scheduling elements, with background and any recommendations for solutions beyond the scope of the contract; f. Problem areas affecting cost elements, with background and any recommendations for solutions beyond the scope of the contract; g. Cost curves showing actual and projected conditions throughout the contract; h. Any cost incurred for the reporting period and total contractual expenditures as of reporting date; i. Person-hours expended for the reporting period and cumulatively for the contract; j. Any trips and significant results; (cont. on page 2)			

DI-MGMT- 80227

7. APPLICATION/INTERRELATIONSHIP (Cont'd)

7.4 Paragraphs 10.3.f, 10.3.g, and 10.3.h herein should be tailored on DD Form 1423 when such cost data is already submitted through a sophisticated cost reporting system under the contract.

10. PREPARATION INSTRUCTIONS (Cont'd)

- k. Record of all significant telephone calls and any commitments made by telephone;
- l. Summary of Engineering Change Proposal (ECP) status, including identification of proposed ECPs, approved ECPs, and implemented ECPs;
- m. Contract schedule status;
- n. Plans for activities during the following reporting period;
- o. Name and telephone number of preparer of the report;
- p. Appendixes for any necessary tables, references, photographs, illustrations, and charts.

\*U.S. GOVERNMENT PRINTING OFFICE: 1986-704-037/50176

ITEM DESCRIPTION		IDENTIFICATION NUMBER
Title		AGENCY
Technical Report - Study/Services Contract		NSA
Description/Purpose		A-5029
Documentation of studies or analysis conducted by the contractor.		APPROVAL DATE
		1 October 1967
		OFFICE OF PRIMARY RESPONSIBILITY
		N
		PPC REQUIRED
		N/A
		APPROVAL LIMITATION
		REFERENCES (containing any code block 10)
		MAIL NUMBER/REF

3. The contractor shall provide technical reports, as directed by the procuring activity, which fully document results of all studies or analyses conducted. The reports shall be prepared in accordance with the format and general instructions as indicated below:

a. Format

(1) Title Page - Identifying the report by providing contract number, project name or purchase description title, task number and reporting period.

(2) Table of Contents

(3) Section I - Including the following:

(a) Introduction

(b) Summary - i.e., a brief statement of results obtained from the analytic effort.

(c) Conclusions and a condensed technical substantiation therefor.

(4) Section II - Including a complete and detailed description of the analytic results which led to the conclusions included in Section I above.

b. General Instructions

(1) The report and all attachments shall be typewritten or otherwise clearly lettered and shall be duplicated using non-fading

DD FORM 1664

PAGE 1 OF 2

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ink.

Approved For Release 2000/08/08 : CIA-RDP96P00789R003000450009-14rd letter size paper  
(8"x10 $\frac{1}{2}$ " or 8 $\frac{1}{2}$ "x11").

(3) When attachments are included, they shall be fully identified, referenced in the text, and folded to conform to the size paper used in the report.

(4) Security classification and distribution markings shall conform to the requirements of the contract, purchase description and security requirements checklist, as applicable.

Page 2 of 2 Pages

9-4

Pursuant to paragraph 1, Block 10, Preparation Instructions", the contractor shall prepare documentation of the results of this effort as follows:

#### TECHNICAL REPORTS

- a. An interim technical report, shall formally document the efforts and results of the period and at the date specified in the Delivery Schedule.
- b. A final technical report shall formally document all efforts and results of the project and be delivered as specified in the Delivery Schedule.
- c. The text of both interim and final technical reports shall be submitted to cover periods and at dates specified in the contract, and shall consist of the following technical elements in the order given:
  - (1) Summary - The summary shall serve as an indication to a potential reader of the content of the report. The summary shall be an accurate reflection of the substance of the report. It shall be brief, clear, and complete; and shall contain, as a minimum: a statement of the purpose of the work, the methods used, a summary of results, and conclusions.
  - (2) Preface - The preface shall contain statements to indicate authorization for the work, numbers and titles of the project/task/subtask under which the work was done, the relationship of the work to the overall program; acknowledgment of the contribution of others not listed as authors; references (publications, lectures, conferences, reports, etc.); individuals contacted (names, title, organization, address, telephone number, etc.).
  - (3) Copyright permission - Copyrighted material shall be used in the report only upon written consent of the copyright owner. If copyrighted material has been used, a statement that permission has been obtained to use such material shall be included in the preface. It shall be the responsibility of the Contractor, prior to his submission of the final manuscript, to furnish a listing of all data used in preparation of the manuscript. Although a copyright check may be performed as part of the Government inspection, failure on the part of the Contractor preparing the report to list all data used in its preparation shall be considered a latent defect and the Contractor shall not be relieved of his responsibility for any copyright violation(s).
  - (4) Body of report - The body (or text) will be determined by the nature of the work being reported. In general, the body should include: a statement of the problem, the background, approach to the problem, results, discussion of the results, conclusions, and recommendations.
    - (a) Introduction - This section shall state: the purpose(s) of the contract, a breakdown of the problem into component parts, a reference to programs related to the purpose, and the scope of the work during the period covered. Each concept considered shall be identified in this paragraph.

9-5

## DIA LOGISTICS/SUPPORT PLAN

CONTRACT TITLE: Research and Analysis SG1J  
 CONTRACT SPONSOR: [REDACTED]  
 ELEMENT: DT-5A SG1A  
 PHONE: [REDACTED]

A. EQUIPMENT MAINTENANCE (RTS-6)	Not Required
1. Internal Maintenance	
2. Contractor Maintenance	
B. SUPPLY SUPPORT (RTS-6)	Not Required
1. Consumables	
2. Equipment Storage	
C. EQUIPMENT TRANSPORTATION HANDLING (RTS-6)	Not Required
D. FACILITIES (RTS-1)	Not Required
1. Utilities	
2. Space, location	
3. Health, Environmental & Safety implications	
E. PERSONNEL AND TRAINING (RHR)	Not Required
1. Civilian & military personnel requirements	
2. Required Training	
3. Personnel relocations	
F. INFORMATION AND GRAPHICS (RSO)	Not Required
1. Systems concept	
2. Software requirements	
3. Hardware requirements	
4. Required funding	
G. PUBLICATIONS AND GRAPHICS (RTS-5)	Not Required
H. COMMUNICATIONS (RCM)	Not Required
I. SECURITY SERVICES (OSC)	REQUIRED
1. Security clearances	
2. Physical security	
3. Contractor security	
4. TEMPEST - DIA/OSC-2 will review and evaluate all requests to process classified information electronically and will provide guidance on equipment procurement, installation, relocation and other measures relative to the control of compromising emanations.	

Enclosure 10

SECRET

ADVANCED ACQUISITION PLAN (AAP) SUMMARY

AAP NO./PURCHASE REQUEST NO. 330/0012Z/91

PROJECT TITLE: Phenomenological Research and Analysis (U)

1. (U) BACKGROUND:

a. (U) Phenomenological research and analysis as intended by this contract refers to anomalous phenomena associated with human activities. Investigations in this area have sometimes been referred to as Remote Viewing (RV), Extrasensory Perception (ESP), and recently as Anomalous Cognition (AC) or Anomalous Phenomena (AP) research. Foreign researchers have also used the term, psychoenergetics, to describe aspects of this area.

b. (S) In 1985, the Department of Army's Surgeon General Office for Research and Development (DASGRD) initiated a 5-year phenomenological research and development program. Research identified for this effort centered on two aspects; basic phenomena understanding and applications oriented research. This SGRD program was in response to recommendations that followed from DIA's earlier 3-year evaluation effort that examined the validity and threat potential of an aspect of this phenomena known as remote viewing. DIA established close ties with this SGRD research program to insure that applications research would be adequately pursued.

c. (S/NF) In 1987, it became apparent that SGRD funding might not be available to carry the project through to the end of the 5-year period. Consequently, additional funding was sought by DIA and acquired through funding transfer action by the House Appropriations Committee (HAC). This funding was provided to DIA; MIFR action to the SGRD contract was the most expeditious method of allocating these funds.

d. (S/NF) Results of the DIA/SGRD effort yielded several findings of breakthrough potential. Subsequently, follow-on research support was provided to DIA by Congressional action for FY91. DIA was identified as the executive agent due to long-standing knowledge and expertise in this area. Both R&D and O&M funds were allocated for continuing basic and applied research, for applied investigations, and for assisting in assessment of relevant foreign research.

2. (S/NF) DESCRIPTION (Expected Capability): This effort will permit both research and analysis of anomalous phenomena to continue. Tasks defined in the classified statement of work focus on those activities that have the highest potential payoff in phenomena understanding, in application analysis, and in threat assessment support. Progress in this area will assist DIA and the Intelligence Community in performing adequate threat assessments and for possible pursuit of applications involving this phenomenological area.

SECRET/NOFORN

Classified By: DIA/DT

Declassify On: 0ADR

1a

Enclosure 11

SECRET

3. (U) MISSION REQUIREMENT SUPPORTED:

a. (U) Source of Mission (DCI goal, Defense guidance, JCS, Other): DCI Goal #2; DIP FY87-91 Required Capabilities 1i, 1n, 1q, 2a, 2e, 2g, 2j, 2n; DoD Plan for Intelligence Support to Operational Commanders FY87-91 Required Capabilities 20, 21, 23. NIT #9 & 32.

b. (U) Impact of Disapproval: Failure to fund this effort would seriously degrade DIA's ability to assess military potential of the emerging foreign efforts in this field and would eliminate potential for phenomena understanding and application development.

4. (U) CONTEXT:

a. (U) Relationship to Ongoing or Proposed Projects: There are no known similar current or proposed projects.

b. (U) Managerial Perspective (DIA goal or objective supported):

(1) (U) Responsive to PMGM 1984-1988 General Production Guidance II.B.2.a.

(2) (S/NF) A prior effort (1981-1983) concerning anomalous phenomena research was conducted through a DIA external contract. The main purposes of this effort was to evaluate the threat that foreign achievements in this area could pose to US national security and to explore its potential for use in US intelligence collection and military operational problems. The results of this effort have confirmed the reality of the remote viewing phenomenon and the existence of a potential threat to US national security. Assessments of foreign research in psychoenergetics were also included in the early effort.

c. (U) Accordance with Agency ADP Planning, if applicable: N/A.

d. (U) Government Furnished Space: None.

SECRET/NOFORN

1b

A. ADVANCED ACQUISITION PLAN (AAP)  
PURCHASE REQUEST (PR) NO. 330/0012Z/91  
FY1991  
UNESCALATED DOLLARS (\$K)

07 DEC 1990

SG1J

SG1A

1. PROJECT MANAGER \_\_\_\_\_ /DT-5A/

2. ELEMENT BUDGET OFFICER \_\_\_\_\_ VP-SF/373-4865 SG1J

3. ACQUISITION ACCOMPLISHED BY:  
 RSQ  MIPR  ISA  OTHER \_\_\_\_\_

4. PROPOSED TYPE OF ACTION:

a.  NEW CONTRACT

b.  MODIFICATION TO CONTRACT NO. \_\_\_\_\_  
 (excludes incremental funding and options previously approved in an AAP).

c.  ORDER UNDER REQUIREMENTS CONTRACT NO. \_\_\_\_\_

d.  ORDER UNDER GSA FEDERAL SUPPLY SCHEDULE.

e.  OTHER \_\_\_\_\_

5. COMPETITION (Applicable to paragraphs 4.a. and 4.b. above):

a.  FULL AND OPEN COMPETITION.

b.  OTHER THAN FULL AND OPEN COMPETITION PURSUANT TO AUTHORITY

10 U.S.C. 2304(c)(1). See enclosure 4 for  
Justification for Other Than Full and Open Competition  
(JFOTF&OC).

AAP NO./PR NO. 330/1200Z/91

6. CONTRACT DOLLARS: PRIOR YEARS FY91 FY92 FY93 FY94 FY95 OUTYEARS  
(\$Mil.)

## a. Appropriation:

D&M	<u>0.3</u>	—	—	—	—
R&D	<u>1.4</u>	—	—	—	—
PROC	—	—	—	—	—
Other Govt Funds	—	—	—	—	—
TOTAL:	<u>1.7</u>	—	—	—	—

b. Total Estimated Contract Amount \$1.7 million  
(Include cost for total effort in Statement of Work)

## c. Source of Funds

## (1) Program/Budget Line Name/Dollars

<u>Line Name(s)</u>	<u>Approp</u>	<u>FY91</u>	<u>FY92</u>	<u>FY93</u>	<u>FY94</u>	<u>FY95</u>	<u>OUTYEARS</u>
1.004	R&D	\$1.4	Mil				
717.033	D&M	.3					

## (2) Program/Budget Record

<u>Line Name</u>	<u>Project#</u>	<u>EU</u>	<u>RC</u>	<u>PE</u>
SUNSTREAK	BASE	103	301	501

## 7. TARGET DATE FOR MILESTONES:

- a. Agency coordination of acquisition package begins Dec 1990.
- b. Transmitted to DC Dec 1990.
- c. Transmitted to RSQ Dec 1990.
- d. Required award date APR 1991.
- e. Required delivery date or performance period SEP 1992.

AAP NO./PR NO. 330/1200Z/91

8. OTHER CONSIDERATIONS \_\_\_\_\_

\_\_\_\_\_

SG1J

9. Recommended COTR [REDACTED], COTR completed required COTR course on \_\_\_\_\_, or scheduled for COTR course on 28 FEB - 1 MAR 1990.

10. SUBMITTED BY \_\_\_\_\_

18 Dec 1990

SG1J

(date)

11. CONCUR

CONCUR: HEAD OF MAJOR ELEMENT

J. Berbick 20 Dec 96  
(Signature and date)

CONCUR: OC

\_\_\_\_\_  
(Signature and date)

APPROVED BY: ASSISTANT DEPUTY DIRECTOR  
FOR PROCUREMENT:

\_\_\_\_\_  
(Signature and date)

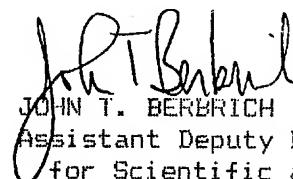
EXCEPTION  
to  
Requirement To Synopsize

DIA/DT R&D Contract: Phenomenological Research and Analysis (U)

Purchase Request # 330/0012Z/91

Information necessary for synopsizing this contract cannot be worded in such a manner so as to avoid disclosure of classified information. The activity of this contract is highly sensitive and any attempt to synopsize would reveal DIA's interest from an applications perspective.

Date: 26 Dec 94

  
JOHN T. BERBRICH  
Assistant Deputy Director  
for Scientific and  
Technical Intelligence

Enclosure 12

**SECRET**

Approved For Release 2000/08/08 : CIA-RDP98-00789R00300450009-1		Delivery required by	3. Purchase request number				
<b>PURCHASE REQUEST</b>		17 Dec 90	30 Sep 92				
INSTRUCTIONS - ORIGINAL AND 8 COPIES		330/0012Z/91					
4A. Authorized Approving Official or Designee (DIAM 44-2)		5A. Funds are certified available and have been committed					
<p><i>John T. Berbrich</i> 20 Dec 90 Signature JOHN T. BERBRICH, Asst Dep Dir for S&amp;T Type Name Date</p>		<p>Accounting classification R/D: 9710400.44 7M1 6F0301 1222 503744 \$1,400,000.00 O/M: 9710100.44 7Y1 6F0301 1221 503744 300,000.00</p>					
4B. Name, Ext, and Signature of Designated Supply Coordinator (DIAM 25-1)		5C. Name and signature of Certifying Official					
<p>Signature Type Name Date</p>		<p>Signature Type Name Date</p>					
6. Element POC/COTR SG1A		Telephone No.	Office Symbol				
			DT-5A				
7A. Ship To: Director DIA Washington, DC 20340-6150		7B. Mark for: (DT-5A)	SG1J				
8A. Stock/Item No.		Description of Supplies or Services		Quantity	Unit	Estimated	
SG1J		RELEASE OF FUNDS FOR EXTERNAL RESEARCH AND ANALYSIS.  Sole Source Contract with SAIC for Phenomenological Research.				\$1,700,000.00	
9. <input type="checkbox"/> Recurring requirement GSA Schedule Number (if known) _____ Acquisition Plan No. _____		Source: _____		8B. Total			
10. Action Office if External to DIA: _____ Interservice Support Agreement No: _____		Other: _____ External POC: _____					
11. Justification/Remarks The purpose of this request is to pursue general phenomenological research via the Science Applications International Corporation (SAIC). Funds for this effort are in the approved FY 91 DIA budget as line items 1.004 ( 917.037 ) for Congressional Directed Programs.							
12A. Requisition Number/Other		13A. Coordinations		<input type="checkbox"/> CRRB	<input type="checkbox"/> SADPO		
12B. Logistics Branch Action Officer/Phone No.		VP-SF <i>W/21</i> OSC-2 RLE-2A		GC	OC-4		
Date				OC-5	RLE-2A		
12C. Method		13B. Approvals		<input type="checkbox"/> Not required (DIAR 44-4)	13C. Budget Reporting Code R400		
<input type="checkbox"/> Contracting and Acquisition Office <input type="checkbox"/> Other: _____		CRRB _____ SADPO _____			<input type="checkbox"/> Yes <input type="checkbox"/> No		
12D. Received By: (Signature)		14A. Action Assigned To: Contract Specialist: Telephone No:		14B. Date			
Date							

**SECRET**ON REMOVAL OF ENCLOSURE(S) THIS DOCUMENT BECOMES UNCLASSIFIED  
NOT RELEASABLE TO THE PUBLIC

**16. Summary Data for CRRB/SADPO Actions:**

### Purpose:

### **Historical Summary:**

**Intelligence Question/Objective:**

**17. List of Enclosures:**

List of Enclosures: Procurement Package Access List

- 2 Uniform Contract Format (over \$25K)
- 3 Statement of Work/Specifications
- 4 Justification for other than full and open competition
- SADPO Acquisition Authority
- Emergency Justification
- 5 Services Questionnaire
- List of Recommended Sources
- 6 Certification of Data Base
- 7 Government Independent Cost Estimate
- 8 DD 254, Security Classification Specification
- 9 DD 1423, Contract Data Requirements List with Data Item Description (DD Form 1684)
- Technical Evaluation Criteria
- Technical Evaluation Plan
- 10 Logistical Support Plan (DIAR 25-4)
- 11 Other(s) AAP Summary
- 12 Exception to Synopsis (of class S.O.W.)

### A section to themselves

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